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**Matter#** NOT PROVIDED

**Order#** 572113-5

**Project Id :**

**Order Date** 03/25/2022

**Entity Name:** PHARE GLOBAL MARKETS, INC.

**Jurisdiction:** NY - Department of State

**Request for:** Amendment/Restated

**File#:** 4152333

**File Date:** 03/29/2022

**Result:** Filed

Ordered by ALAN LUNGEN at ALAN LUNGEN

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If you have any questions concerning this order or CSCGlobal, please feel free to contact us.

Dana Martin

[dmarti0@cscinfo.com](mailto:dmarti0@cscinfo.com)

The responsibility for verification of the files and determination of the information therein lies with the filing officer; we accept no liability for errors or omissions.

**New York State Department of State**  
**Division of Corporations, State Records and Uniform Commercial Code**

**Please print this email for your records.**

Thank you for submitting your CERTIFICATE OF AMENDMENT through the Department of State's Online Filing System. The CERTIFICATE OF AMENDMENT has been filed by the Department of State.

We have attached the official filing receipt and any related document(s) for the following entity:

**DOS ID:** 4152333  
**Entity Name:** PHARE GLOBAL MARKETS, INC.  
**County:** NEW YORK  
**Filing Date:** 03/29/2022  
**Statement Due:** 10/31/2013

- Retain this letter and attachment(s) for your records. The Department of State does not mail additional copies of the filing receipt or related attachment(s).
- Business Corporations are required to file a Biennial Statement with the Department of State. To receive an email notice when the Biennial Statement is due, provide an email address at the Department of State's [Email Address Submission/Update Service](#).

**Resources**

- [Instructions for filing Certificates of Correction, Certificates of Amendment](#) and other documents with the Department of State
- [Corporation tax information](#)

**Contact Information**

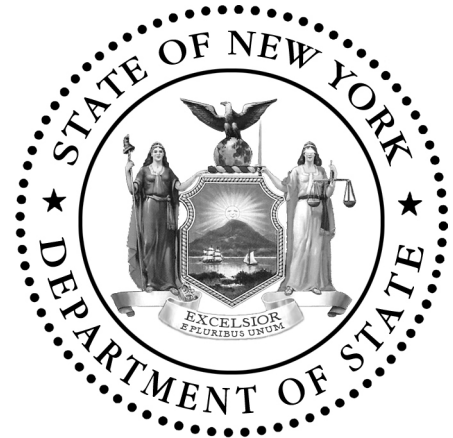
- Department of State: Email the Division of Corporations at [corporations@dos.ny.gov](mailto:corporations@dos.ny.gov).

- Department of Taxation and Finance: Visit [Contact us](#) for self-help options and telephone numbers.

**NEW YORK STATE DEPARTMENT OF STATE**  
**DIVISION OF CORPORATIONS, STATE RECORDS AND UNIFORM COMMERCIAL CODE**  
**FILING RECEIPT**

**ENTITY NAME :** PHARE GLOBAL MARKETS, INC.  
**DOCUMENT TYPE :** CERTIFICATE OF AMENDMENT  
**ENTITY TYPE :** DOMESTIC BUSINESS CORPORATION

**DOS ID :** 4152333  
**FILE DATE :** 03/29/2022  
**FILE NUMBER :** 220329001948  
**TRANSACTION NUMBER :** 202203290000282-754817  
**EXISTENCE DATE :** 10/12/2011  
**DURATION/DISSOLUTION :** PERPETUAL  
**COUNTY :** NEW YORK



**SERVICE OF PROCESS ADDRESS :** THE CORPORATION  
17 STATE STREET, SUITE 400  
NEW YORK, NY, 10004, USA  
**FILER :** JOSE CARLOS DUARTE AREIA FILHO  
PHARE GLOBAL MARKETS INC., SUITE 400  
NEW YORK, NY, 10004, USA  
**SERVICE COMPANY :** CORPORATION SERVICE COMPANY  
**SERVICE COMPANY ACCOUNT :** 45

*You may verify this document online at :* <http://ecorp.dos.ny.gov>  
**AUTHENTICATION NUMBER :** 100001301858

<b>TOTAL FEES:</b>	<b>\$95.00</b>	<b>TOTAL PAYMENTS RECEIVED:</b>	<b>\$95.00</b>
<b>FILING FEE:</b>	<b>\$60.00</b>	<b>CASH:</b>	<b>\$0.00</b>
<b>CERTIFICATE OF STATUS:</b>	<b>\$0.00</b>	<b>CHECK/MONEY ORDER:</b>	<b>\$0.00</b>
<b>CERTIFIED COPY:</b>	<b>\$10.00</b>	<b>CREDIT CARD:</b>	<b>\$0.00</b>
<b>COPY REQUEST:</b>	<b>\$0.00</b>	<b>DRAWDOWN ACCOUNT:</b>	<b>\$95.00</b>
<b>EXPEDITED HANDLING:</b>	<b>\$25.00</b>	<b>REFUND DUE:</b>	<b>\$0.00</b>

**STATE OF NEW YORK**  
**DEPARTMENT OF STATE**

I hereby certify that the annexed copy for PHARE GLOBAL MARKETS, INC., File Number 220329001948 has been compared with the original document in the custody of the Secretary of State and that the same is true copy of said original.



WITNESS my hand and official seal of the  
Department of State, at the City of Albany,  
on March 29, 2022.

*Brendan C. Hughes*

Brendan C. Hughes  
Executive Deputy Secretary of State

**CERTIFICATE OF AMENDMENT**  
**OF THE**  
**CERTIFICATE OF INCORPORATION**  
**OF**  
**PHARE GLOBAL MARKETS, INC.**

**Under Section 805 of the Business Corporation Law**

FIRST: The name of the corporation is: **PHARE GLOBAL MARKETS, INC.**

SECOND: The date of filing of the Certificate of Incorporation with the Department of State was October 12, 2011.

THIRD: The amendments effected by this Certificate of Amendment is as follows:

A new Paragraph SECOND relating to the election to become a Benefit Corporation shall be added as follows:

“SECOND: The corporation is a Benefit Corporation under Article 17 of the Business Corporation Law.”

A new Paragraph THIRD relating to the specific benefit purposes of the Corporation shall be added as follows:

“THIRD: Specific Benefit Purposes. The specific benefit purposes of the Corporation are:

- (i) the promotion of economic opportunity for individuals or communities beyond the creation of jobs in the normal course of business;
- (ii) preserving the environment; and
- (iii) promoting the arts, sciences or advancement of knowledge.”


FOURTH: Articles SECOND, THIRD, FOURTH, FIFTH, SIXTH and SEVENTH of the original Certificate of Incorporation are renumbered Articles FOURTH, FIFTH, SIXTH, SEVENTH, EIGHTH and NINTH respectively.

FIFTH: Article SEVENTH of the Certificate of Incorporation, as amended by the foregoing, shall be further amended to modify the post office address to which the Secretary of State, as designated as the agent of the corporation upon whom process against the corporation may be served, shall mail a copy of any process against the

corporation served upon the Secretary of State to:

“THE CORPORATION  
17 STATE STREET, SUITE 4000  
NEW YORK, NY 10004”

FIFTH: This Amendment of the Certificate of Incorporation was duly authorized by the vote of the board of directors followed by affirmative vote of three-quarters of the shareholders on March, 23, 2022.

DocuSigned by:  
  
E6CFA029E5AB498...

JOSÉ CARLOS DUARTE AREIA FILHO  
CEO  
Phare Global Markets Inc.  
17 State Street, Suite 4000  
New York, NY 10004 – U.S.A.

**CERTIFICATE OF AMENDMENT  
OF THE  
CERTIFICATE OF INCORPORATION  
OF  
PHARE GLOBAL MARKETS, INC.**

Under Section 805 of the Business Corporation Law

Filer: JOSÉ CARLOS DUARTE AREIA FILHO  
PHARE GLOBAL MARKETS INC.  
17 STATE STREET  
SUITE 400  
NEW YORK NY 10004